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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/881,587	06/14/2001	John Werner Bulluck	TRIA:002

O'KEEFE, EGAN & PETERMAN, L.L.P.
Building C, Suite 200
1101 Capital of Texas Highway South
Austin, TX 78746



CONFIRMATION NO. 5873

FORMALITIES LETTER



OC00000006403952

Date Mailed: 08/09/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1846.
 - \$1206 for 67 total claims over 20.
 - \$640 for 8 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2686.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) – SMALL BUSINESS CONCERN

DOCKET NO.
TRIA:002

Applicant or Patentee: John Werner Bulluck and Brad Rix

Application No.: 09/881,587

Title: ROOM TEMPERATURE CURED HIGH TEMPERATURE AND LOAD
DIMENSIONALLY STABLE ACRYLATE ADHESIVES

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN:
ADDRESS OF SMALL BUSINESS CONCERN:

TRI/Austin, Inc.
9063 Bee Caves Road
Austin, TX 78723-6201

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention must file separate verified statements averring to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization having any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.
☐ each such person, concern or organization is listed below:

Separate verified statement is required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: Michael L. Dingus, Ph.D.

TITLE OF PERSON IF OTHER THAN OWNER: Vice President

ADDRESS OF PERSON SIGNING: 9063 Bee Caves Road
Austin, TX 78723-6201

SIGNATURE: _____

Michael L. Dingus

DATE: _____

9/5/01

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#

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: John Werner Bulluck and Brad A. Rix

Filed: June 14, 2001

For: ROOM TEMPERATURE CURED HIGH TEMPERATURE AND LOAD
DIMENSIONALLY STABLE EPOXY LATE ADHESIVES

Serial No.: 09/881,587

Group Art Unit: Unknown

Examiner: Unknown

Atty Docket No.: TRIA:002



Pursuant to 37 C.F.R. 1.8, I certify that this correspondence is being deposited with the U.S. Postal Service in a first class, postage prepaid, envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date below:

9-14-01 _____
Date Name

BOX MISSING PARTS
Assistant Commissioner For Patents
Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing are:

- ☐ New Patent Application consisting of _____ pages and _____ Figs.
- ☒ Response to Missing Parts - Part 2 Copy (1 pg)
- ☒ Assignment and Recordation Cover sheet (3 pgs)
- ☒ Inventors' Declaration/Power of Attorney (3 pgs)
- ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

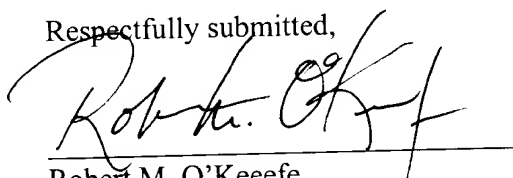
- ☒ Information Disclosure Statement, 1449; refs At A9 & B1
- ☐ Petition for a _____ month extension of time
- ☐ Response to Office Action
- ☒ Preliminary Amendment (2 pgs)
- ☐ Formal Drawings
- ☐ Informal Drawings
- ☐ Notice of Appeal
- ☐ An Appeal Brief (an original and two copies)
- ☒ Check(s) in the amount of \$1328 - Filing Fees & \$40 - Assignment Fee
- ☐ The Commissioner is authorized to deduct any requisite fees under 37 CFR 1.16 to 1.21 from, or deposit any credits to, Deposit Account No. 10-1205/_____, including any concurrent or future required extension of time fees.
- ☒ In accordance with 37 CFR 1.136(a)(3), the Commissioner is authorized to treat any concurrent or future reply that requires a petition for an extension of time under 37 CFR 1.126(a) to be timely, as incorporating a petition for extension of time for the appropriate length of time, and the Commissioner is authorized to deduce any requisite fees under 37 CFR 1.16 to 1.21 from Deposit Account No. 10-1205.
- ☒ Postcard. Please date stamp and mail this postcard to acknowledge receipt of the enclosed documents.
- ☒ Other: Small Entity Statement (2 pgs)

FEE CALCULATION:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	Total Claims (37 CFR 1.16(e))	<u>87</u> - 20 =	67	x \$ 18.00	\$ 1206.00
	Independent Claims (37 CFR 1.16(b))	<u>9</u> - 3 =	6	x \$ 80.00	\$ 480.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			x \$ 270.00	\$
				Basic Fee (37 CFR 1.16(a))	\$ 710.00
				Total of above Calculations =	\$ 2396.00
	Reduction by 50% for filing by Small Entity (Note: 37 CFR 1.9, 1.27, 1.28)				\$ 1198.00
	Surcharge for submission of Response to Missing Parts if applicable (\$130)				\$ 130.00
	TOTAL CHECK (1) =				\$ 1328.00
	ASSIGNMENT FEE (IF FILED WITH APPLICATION)				\$ 40.00
	TOTAL CHECK (2)				\$ 40.00
	=				

The Examiner is invited to contact the undersigned at 512-347-1611 with any questions or comments, or to otherwise facilitate expeditious prosecution of the application.

Respectfully submitted,



Robert M. O'Keefe
Registration No. 35,630
Attorney for Applicant

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